

Docket No.: 071971-0549



**PATENT**

IFX4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 53080
Nobou AOI, et al.	:	Confirmation Number: Not Yet Assigned
Application No.: 10/578,351	:	Group Art Unit: Not Yet Assigned
Filed: May 05, 2006	:	Examiner: Not yet assigned
For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME		

**REQUEST FOR OFFICIAL FILING RECEIPT**

Mail Stop OFR  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

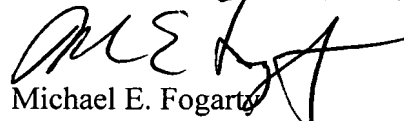
Sir:

The above-referenced patent application was filed in the U.S. Patent and Trademark Office on May 05, 2006, as evidenced by the attached copies of the return receipt postcard and Transmittal Letter. To date, the undersigned has not received an Official Filing Receipt regarding this application.

It is respectfully requested that the undersigned be furnished with an Official Filing Receipt as soon as possible.

Respectfully submitted,

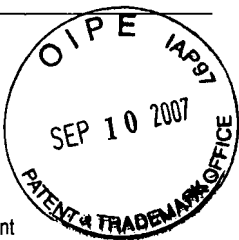
McDERMOTT WILL & EMERY LLP

  
Michael E. Fogarty  
Registration No. 36,139

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
Phone: 202.756.8000 MEF:jwp  
Facsimile: 202.756.8087  
**Date: September 10, 2007**

**Please recognize our Customer No. 53080  
as our correspondence address.**

Applicant: <b>Nobuo AOI, et al.</b>		Docket No. <b>071971-0549</b>		Serial No. <b>Not yet assigned</b>	
Title: <b>SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME</b>					
Date Sent: <b>5/5/2006</b>		<input checked="" type="checkbox"/> Hand Carried <input type="checkbox"/> Fax <input type="checkbox"/> Electronic <input type="checkbox"/> Cert. of Mailing <input type="checkbox"/> 1st Class Mail <input type="checkbox"/> Express Mail No.			
<input checked="" type="checkbox"/> Transmittal Letter					
New Patent App <input type="checkbox"/> Utility <input type="checkbox"/> Design <input type="checkbox"/> Cont. <input type="checkbox"/> CIP <input type="checkbox"/> Div. <input checked="" type="checkbox"/> PCT <input type="checkbox"/> RCE <input type="checkbox"/> Prov					
<input type="checkbox"/> Other: _____					
<input type="checkbox"/> Letter submitting _____ pages of drawings					
<input type="checkbox"/> Req. for Approval of Drawing Amendments					
<input type="checkbox"/> Req. for Oral Hearing					
<input type="checkbox"/> Not. of Appeal <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Reply Brief					
<input type="checkbox"/> Rule 312 Amendment/Letter					
<input type="checkbox"/> Req. for Acknowledgement of Cited Art					
<input type="checkbox"/> Issue Fee					
<input checked="" type="checkbox"/> Publication Fee					
<input checked="" type="checkbox"/> Front page of International Publication					
<input checked="" type="checkbox"/> International Search Report w/Translation					
<input checked="" type="checkbox"/> PCT/IB/301					
<input checked="" type="checkbox"/> PCT/IB/304					
<input checked="" type="checkbox"/> PCT/ISA/237					
<input checked="" type="checkbox"/> PCT Request					
<input checked="" type="checkbox"/> 54 pages of Clean Substitute Specification, 54 pages of Marked-up Specification					
<input type="checkbox"/> 54 pages of Specification					
<input type="checkbox"/> 7 pages of Claims					
<input type="checkbox"/> 1 pages of Abstract					
<input type="checkbox"/> 11 pages of Formal Drawings					
<input type="checkbox"/> Small Entity <input checked="" type="checkbox"/> Large Entity					
<input checked="" type="checkbox"/> Declaration/Power of Attorney					
<input type="checkbox"/> Recordation of Assignment/Security Agreement					
<input checked="" type="checkbox"/> Information Disclosure Statement					
<input type="checkbox"/> 1 Form PTO 1449					
<input type="checkbox"/> 8 References attached					
<input checked="" type="checkbox"/> Preliminary Amendment					
<input type="checkbox"/> Response to Missing Parts Notice					
<input type="checkbox"/> Resp. to Notice to Correct App. Papers					
<input type="checkbox"/> Certified Copy of Priority Doc.					
<input type="checkbox"/> Claim for Convention Priority					
<input type="checkbox"/> Response/Amendment to Office Action of _____					
<input type="checkbox"/> Request for _____ month Extension of Time					



Check for	\$	<input checked="" type="checkbox"/> Charge Deposit Acct. 500417	\$ 2600.00	Atty Init. <b>MEF</b>	Tkpr. # <b>3328</b>	Secy. or PL: <b>AMcClure</b>
-----------	----	---	------------	-----------------------	---------------------	------------------------------

CMS Descrip.:	<b>1-300.00</b>	<b>31-400.00</b>	<b>32-200.00</b>	<b>3-1700.00</b>	<b>EARLY SERIAL NUMBER</b>
THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.					

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

071971-0549

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)  
Not yet assigned

INTERNATIONAL APPLICATION NO

PCT/JP2005/009269

INTERNATIONAL FILING DATE

May 20, 2005

PRIORITY DATE CLAIMED

June 21, 2004

TITLE OF INVENTION

SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

APPLICANTS FOR DO/EO/US

Nobuo AOI, Hideo NAKAGAWA, Atsushi IKEDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not transmitted by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information.
  - 1) Front Page of International Application
  - 2) International Search Report and English Translation thereof
  - 3) PCT/ISA/237
  - 4) PCT/IB/301
  - 5) PCT/IB/304
  - 6) PCT Request
  - 7) 54 pages of Substitute Specification
  - 8) 54 pages of Marked-up Specification

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) Not yet assigned		INTERNATIONAL APPLICATION NO. PCT/JP2005/009269		ATTORNEY'S DOCKET NUMBER 071971-0549	
				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> The following fees are submitted:					
<input checked="" type="checkbox"/> Basic National Stage Fee \$300				\$300.00	
22. <input checked="" type="checkbox"/> Examination Fee If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200				\$200.00	
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations \$500				\$400.00	
TOTAL OF 21, 22 and 23 =				\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$0	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE		
73-100	0/50=	0	X\$250	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	26-20 =	6	x \$50.00	\$300.00	
Independent Claims	10-3 =	7	x \$200.00	\$1400.00	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$0	
TOTAL OF ABOVE CALCULATIONS =				\$2600.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	
SUBTOTAL =				\$2600.00	
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0
TOTAL NATIONAL FEE =				\$2600.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$0
TOTAL FEES ENCLOSED =				\$2600.00	
				Amount to be refunded	\$
				Amount to be charged	\$2600.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of <u>\$2600.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Customer Number <b>20277</b> Telephone: 202.756.8000 Facsimile: 202.756.8087					
			SIGNATURE	May 5, 2006	
			Michael E. Fogarty	DATE	
			NAME		
			36,139		
			REGISTRATION NUMBER		